

Audit Follow-ups November to September 2014						Recommendations Agreed				Recommendations Implemented				Appendix 1
Department	Audit Review	Main Report Finalised	Follow up Date	Assurance level	R	A	G	Tot	R	A	G	Tot	Exception Comments	
City Surveyor	Traditional Crematorium	Jun-13	Mar-14	Amber	0	4	1	5	0	4	1	5	N/A All recommendations implemented.	
City Surveyor	Guildhall Expenditure	Jun-13	May-14	N/A	0	0	4	4	0	0	3	3	In terms of the one outstanding green recommendation, Guildhall repairs and maintenance stock records are currently being improved and will, going forward, include an audit trail of purchases and usage for all stock held. This work was expected to be completed by June 2014; a client update is awaited.	
Barbican Centre	Engineering Stocks and Stores	Aug-13	Jul-14	Green	0	0	17	17	0	0	14	14	Due to a recent upgrade of the Agility stock software, a quarterly stock check has yet to be undertaken and a user manual still needs to be prepared. A value for money benchmarking exercise for the in-house stores provision will be undertaken once a feasibility study of the stores processes has been completed.	
Chamberlains	iTrent	Oct-12	May-14	Green	0	11	20	31	0	11	15	26	Five green priority recommendations were agreed not to be pursued in this audit. The recommended solution is to be reviewed as part of a larger City of London requirement to isolate all important system logs (such as iTrent and CBIS) onto separate servers. The creation of a separate centralised log server repository will be considered after the implementation of Infrastructure as a Service (IaaS) which is currently being formed by City of London and Agilysis.	
Barbican Centre	Business Continuity Management System	Dec-13	May-14	Green	0	1	9	10	0	1	7	8	Both green priority recommendations were expected to be completed by the end of June 2014 and we have since been advised by the client that these have been implemented; supporting evidence is awaited.	
Totals					0	16	51	67	0	16	40	56		